

Docket No. 244692US2

AUG. 15 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akihiro TANAKA, et al.

SERIAL NO: 10/695,791

GAU: 2878

FILED: October 30, 2003

EXAMINER: Lee, Patrick J.

FOR: OPTICAL SEMICONDUCTOR DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

05/27/2005 AJONES3 00000002 10695791

01-FC:1806 180.00-DA

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870

Adjustment date: 11/03/2005 SDENB0B1
05/27/2005 AJONES3 00000002 150030 10695791
01 FC:1806 180.00 CR

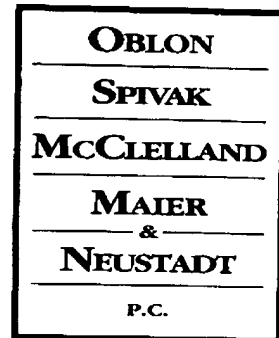
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PAGE 1

2005 AUG 29 11 14 AM

US PATENT & TRADEMARK
OFFICE

August 29, 2005



ATTORNEYS AT LAW

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CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE
2501 Jamieson Ave., Ste. 300
Alexandria, VA

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of May, 2005. Please review the highlighted charge on **Serial Number 10/695,791 in the amount of \$180.00 on fee code #1806.**

A **CERTIFIED** Information Disclosure Statement was filed on May 19, 2005. Since this application was not under final rejection, **no fee is required as set forth in 37 CFR 1.97.**

Please investigate this charge and kindly refund **\$180.00** to **Deposit Account #150030.** Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures

MASTER**United States
Patent and
Trademark Office**

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Page**Deposit Account Statement**

Requested Statement Month: May 2005
Deposit Account Number: 150030
Name: NORMAN F. OBLON
Attention:
Address: 1940 DUKE STREET
City: ALEXANDRIA
State: VA
Zip: 22314
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
05/02	5	10281958	229950US3PMH	1201	\$800.00	\$12,838.71
05/02	34	PAYMENT		9203	-\$20,000.00	\$32,838.71
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START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$13,638.71	\$40,187.00	\$46,496.00	\$19,947.71

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dec

- OSMM&N File No. 244692US2

Dept.: E/M

By: EHK/E/M/pja

- Serial No. 10/695,791

- In the matter of the Application of: Akihiro TANAKA, et al.

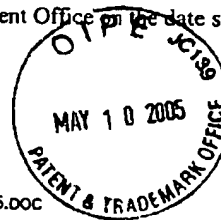
- For: OPTICAL SEMICONDUCTOR DEVICE

Due Date: 5/18/05

The following has been received in the U.S. Patent Office on the date stamped hereon

■ Dep. Acct. Order Form

■ IDS/Related/List of Related Cases



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